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16638 U.S. PTO

**NEW UTILITY PATENT APPLICATION  
TRANSMITTAL**DOCKET NO.  
JP920010129US2  
IEN-10-5797-D1TOTAL PAGES IN THIS SUBMISSION  
322387 U.S. PTO  
10/765793

012704

**TO MAIL STOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS**P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

**THIN FILM TRANSISTOR AND USE OF SAME**

and invented by:

HIROSHI SUZUKI

TAKATOSHI TSUJIMURA

assigned to

INTERNATIONAL BUSINESS MACHINES CORPORATION  
Armonk, New York**If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:**☐ Continuation☒ Divisional☐ Continuation -in -part (CIP)in prior application No.: 10/222,339

Enclosed are:

## APPLICATION ELEMENTS

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having **27** pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (*if applicable*)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d. ☐ Reference to Microfiche Appendix (*if applicable*)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (*if drawings filed*)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (*when necessary as prescribed by 35 USC 113*)
  - a. ☒ Formal
  - b. ☐ InformalNumber of Sheets 10

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Application Elements (Continued)

4. ☒ Oath or Declaration
- a. ☐ Newly executed (*original or copy*) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
- c. ☒ With Power of Attorney  
☐ Without Power of Attorney
5. ☒ Incorporation by Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (*identical to computer copy*)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (*cover sheet & document(s)*)  
International Business Machines Corporation
9. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. ☐ English Translation Document (*if applicable*)
11. ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcards (2)
14. ☒ Certificate of Mailing  
☐ First Class ☒ Express Mail (*Specify Label No.*): EL 897035497 US
15. ☐ Small Entity Statement(s)  
☐ Statement filed in prior application; Status still proper and desired.
16. ☒ Application Data Sheet
17. ☐ Additional Enclosures (*please identify below*):

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**FEE CALCULATION AND TRANSMITTAL**

**CLAIMS AS FILED**

1) For	2) Number Filed	3) Number Extra	Rate	Additional Fee
TOTAL CLAIMS (37 CFR 1.16(c))	17-20=	-0 -	X\$18.00	\$ 0.00
INDEPENDENT CLAIMS (37 CFR 1.16(c))	2 - 3 =	-0 -	X \$86.00	\$ 0.00
First Pres. of Multiple Dep. Claims		= 0		\$ 0.00
Basic Fee		= 0		\$770.00
			TOTAL	\$770.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0457  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$770.00 as filing fee.
- ☒ Credit any overpayment or any deficiency.
- ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

Date: 1-27-04

By: William N. Hogg  
William N. Hogg, Reg. No. 20,756  
Driggs, Lucas, Brubaker & Hogg Co., L.P.A.  
8522 East Avenue  
Mentor, Ohio 44060  
(440) 205 3600  
Fax: (440) 205 3601  
e-mail: bill@driggslaw.com

WNH:cg

Enclosures

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Suzuki et al			DOCKET NO. JP920010129US2 (IEN-10-5797-D1)
Serial No. To be assigned	Filing Date Herewith	Examiner	Group Art Unit
Invention: <u>THIN FILM TRANSISTOR AND USE OF SAME</u>			
<p>I hereby certify that the attached <b><u>Divisional Patent Application and Supporting Documents</u></b> are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>January 27, 2004</u>.</p> <p><u>Carole Giacomazzo</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Carole Giacomazzo</u> (Signature of Person Mailing Correspondence)</p> <p><u>EL897035497 US</u> ("Express Mail" Mailing Label Number)</p>			